(Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/760,009		
Filing Date	January 11, 2001		
First Named Inventor	J. Wallace Parce		
Group Art Unit	1743		
Examiner Name	Unassigned		
Attorney Docket Number	01-050110US		

ENCLOSURES (check all that apply)						
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group				
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and interferences				
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information				
Affidavits/declaration(s	Petition to Convert to a Provisional Application	Status Letter				
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	X Additional Enclosure(s) (please identify below):				
Express Abandonment Reque		receipt acknowledgment postcard				
X Information Disclosure Statem	ent Request for Refund					
Certified Copy of Priority Document(s)  Response to Missing Parts/	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 this paper or during the pendent profile and for consideration of the documents deaclesed.	for any additional fees associated with including any extensions of time				
Incomplete Application  Response to Missing	Remarks MAY 0					
Parts under 37 CFR 1.52 or 1.53	TC	1700				
SIGNA	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	n Quine, Reg. No. 41,261, The Law Offi	ces of Jonathan Alan Quine				
Signature Jonath Ala Quin						
Date 5 - 3	Date 5 - 3 - 0					

CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: $5-3-01$							
Typed or printed name Tracie Brooks							
Signature	Stravie Brooks	Date	5-3-01				



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,

Washington, D.C. 20231, On May 3 7 AC

The Law Offices of Jonathan Alan Quine

By Fracie Brooks

Attorney Docket No. 01-050110US Client Ref. No. 100/07110

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. Wallace Parce, et al.

Application No.: 09/760,009

Filed: January 11, 2001

For: METHOD FOR MONITORING FLOW RATE USING FLUORESCENT

**MARKERS** 

Examiner: Unassigned

Art Unit: 1743

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

RECEIVED

MAY 0 8 2001

TC 1700

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Application No.: 09/760009
Page 2

Applicant bel

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine Reg. No. 41,261

THE LAW OFFICES OF JONATHAN ALAN QUINE

P.O. Box 458

Alameda, CA 94501 Tel: (510) 337-7871

Fax: (510) 337-7877

JAQ:tb



Complete if Known

Application Number 09/760,009

Filing Date January 11, 2001

First Named Inventor J. Wallace Parce

Group Art Unit 1743

Examiner Name Unassigned

Attorney Docket Number 01-050110US

(use as many sheets as necessary)

	T	U.S. Patent Document		.S. PATENT DOCUMENTS  Name of Patentee or Applicant of		Pages, Columns, lines,
Examiner Initials	Cite No.	Number Kind	Code lown)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
	AA	4,390,403		Batchelder	06-28-1983	
	AB	4,908,112		Pace	03-13-1990	
	AC	5,126,022		Soane et al.	06-30-1992	
	AD	5,498,392		Wilding et al.	03-12-1996	
	AE	5,571,410		Swedberg et al.	11-05-1996	
	AF	5,585,069		Zanzucchi et al.	12-17-1996	
	AG	5,587,128		Wilding, et al.	12-24-1996	
	AH	5,593,838		Zanzucchi et al.	01-14-1997	
	Al	5,603,351		Cherukuri et al.	02-18-1997	
	AJ	5,635,358		Wilding et al.	06-03-1997	
	AK	5,637,469		Wilding et al.	06-10-1997	
	AL	5,699,157		Parce	12-16-1997	
	AM	5,750,015		Soane et al.	05-12-1998	
	AN	5,779,868		Parce et al.	07-14-1998	
	AO	5,800,690		Chow et al.	09-01-1998	
	AP	5,842,787		Kopf-Sill et al.	12-01-1998	
	AQ	5,852,495		Parce	12-22-1998	PECEN
	AR	5,869,004		Parce et al.	02-09-1999	RECEIVE
	AS	5,876,675		Kennedy	03-02-1999	MAY 0 8 2001
	AT	5,880,071		Parce et al.	03-09-1999	
	AU	5,882,465		McReynolds	03-16-1999	16 1700
	AV	5,885,470		Parce et al.	03-23-1999	
	AW	5,942,443		Parce et al.	08-24-1999	
	AX	5,948,227		Dubrow	09-07-1999	
	AY	5,955,028		Chow	09-21-1999	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	AZ	5,957,579		Kopf-Sill et al.	09-28-1999	
	ВА	5,958,203		Parce et al.	09-28-1999	
Examin Signatu		,			ate onsidered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



C	omplete if Known	
Application Number	09/760,009	
Filing Date	January 11, 2001	
First Named Inventor	J. Wallace Parce	
Group Art Unit	1743	
Examiner Name	Unassigned	
Attorney Docket Number	01-050110US	

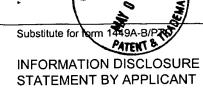
(use as many sheets as necessary)

	· · · · · · · · · · · · · · · · · · ·		T-12-12-12-12-12-12-12-12-12-12-12-12-12-
BB	5,958,694	Nikiforov	09-28-1999
ВС	5,959,291	Jensen	09-28-1999
BD	5,964,995	Nikiforov et al.	10-12-1999
BE	5,965,001	Chow et al.	10-12-1999
BF	5,965,410	Chow et al.	10-12-1999
BG	5,972,187	Parce et al.	10-26-1999
вн	5,976,336	Dubrow et al.	11-02-1999
BI	5,989,402	Chow et al.	11-23-1999
BJ	6,001,231	Kopf-Sill	12-14-1999
ВК	6,004,515	Parce et al.	12-21-1999
BL	6,011,252	Jensen	01-04-2000
ВМ	6,012,902	Parce	01-11-2000
BN	6,042,710	Dubrow	03-28-2000
ВО	6,046,056	Parce et al.	04-04-2000
BP	6,068,752	Dubrow et al.	05-30-2000
BQ	6,071,478	Chow, Calvin Y., H.	06-06-2000 RECEIVED
BR	6,074,725	Kennedy, Colin	06-13-2000 MAY 0 8 2001
BS	6,080,295	Parce et al.	06-27-2000 MAT 0 0 2001
			TC 1700

				FOREIGI	N PATENT DOCUMEN			
			Foreign Patent Docu			Date of Publication	Pages, Columns, Lines,	۱ ـ
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	⊥'
	вт	wo	96/04547		Lockheed Martin	02-15-1996		
***	BU	wo	97/02357		Affymetrix, Inc.	01-23-1997		
	BV	wo	98/00231		Parce et al.	01-08-1998		
	BW	wo	98/00705		Parce et al.	01-08-1998		
	вх	wo	98/00707		Chow, et al.	01-08-1998		
	BY	wo	98/02728		Parce	01-22-1998		
	ΒZ	wo	98/05424		Chow, Calvin Y., H.	02-12-1998		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



C	omplete if Known	
Application Number	09/760,009	
Filing Date	January 11, 2001	
First Named Inventor	J. Wallace Parce	
Group Art Unit	1743	
Examiner Name	Unassigned	
Attorney Docket Number	01-050110US	

(use as many sheets as necessary)

CA	wo	98/22811	Parce et al.	05-28-1998	
CE	wo	98/45481	Knapp et al.	10-15-1998	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
CC	wo	98/45929	Nikiforov et al.	10-15-1998	
CE	wo	98/46438	Parce et al.	10-22-1998	
CE	wo	98/49548	Dubrow et al.	11-05-1998	
CF	wo	98/55852	Sundberg et al.	12-10-1998	
CC	wo :	98/56956	Kopt-Sill et al.	12-17-1998	
CH	ı wo	99/00649	Jensen	01-07-1999	
CI	wo	99/10735	Chow et al.	03-04-1999	
C	wo	99/12016	Chow	03-11-1999	
Cł	wo	99/16162	Parce	04-01-1999	
CL	. wo	99/19516	Nikiforov	04-22-1999	
CI	ı wo	99/19056	Kopf-Sill et al.	04-22-1999	
CI	1 MO	99/29497	Kennedy	06-17-1999	
CC	wo	99/56954	Caliper Technologies Corp.	11-11-1999	RECEIVED
CF	wo	00/09753	Caliper Technologies Corp.	02-24-2000	MAY 0 8 2001
Co	Q WO	01/14064	Caliper Technologies Corp.	03-01-2001	TC 1700

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	т
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	CR	COHEN, C.B. et al., "A Microchip-Based Enzyme Assay for Protein Kinase A," Anal. Chem. (1999) 273:89-97	
	cs	DASGUPTA, P.K. et al., "Electroosmosis: A Reliable Fluid Propulsion System for Flow Injection Analysis," Anal. Chem. (1994) 66:1792-1798	
	СТ	JACOBSON, S.C. et al., "Fused Quartz Substrates for Microchip Electrophoresis," Anal. Chem. (1995) 67:2059-2063	
	CU	MANZ, A. et al., "Electroosmotic pumping and electrophoretic separations for miniaturized	

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

C	omplete if Known	
Application Number	09/760,009	
Filing Date	January 11, 2001	
First Named Inventor	J. Wallace Parce	
Group Art Unit	1743	
Examiner Name	Unassigned	
Attorney Docket Number	01-050110US	

	chemical analysis systems," <u>J. Micromech. Microeng.</u> (1994) 4:257-265
CV	RAMSEY, J.M. et al., "Microfabricated chemical measurement systems," Nature Med. (1995) 1:1093-1096
C W	SEILER, K. et al., "Planar Glass Chips for Capillary Electrophoresis: Repetitive Sample Injection, Quantitation, and Separation Efficiency," <u>Anal. Chem.</u> (1993) 65:1481-1488
СХ	SEILER, K. et al., "Electroosmotic Pumping and Valveless Control of Fluid Flow Within a Manifold of Capillaries on a Glass Chip," <u>Anal. Chem.</u> (1994) 66:3485-3491
CY	SUNDBERG, S. A., "High-throughput and ultra-high-throughput screening: solution – and cell-based approches," Current Opinions in Biotechnology 2000, 11:47-53

RECEIVED MAY 0 8 2001 TC 1700

			_
Exam	iner	Date	
Signa	ture	Considered	╝

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.